#### PCT

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5: (11) International Publication Number: WO 92/18542 C08B 31/18, C07H 7/033 A1 (43) International Publication Date: 29 October 1992 (29.10.92) C11D 3/22, D21H 17/28 (21) International Application Number: PCT/EP92/00827 (22) International Filing Date: 13 April 1992 (13.04.92) (30) Priority data: T091A000281 12 April 1991 (12.04.91) IT

(71) Applicant (for all designated States except US): NOVA-MONT S.P.A. [IT/IT]; Foro Buonaparte, 31, I-20121 Milano (IT).

(72) Inventors; and

- (75) Inventors, and (75) Inventors, Applicants (for US only): CONCA, Esterino [IT/IT]; Via Montegrappa, 8, I-28100 Novara (IT). BRUSSANI, Gianfranco [IT/IT]; Via Don Cabrio, 31, I-13051 Biella (IT).
- (74) Agents: RAMBELLI, Paolo et al.; Jacobacci-Casetta & Perani S.p.A., Via Alfieri, 17, I-10121 Torino (IT).

(81) Designated States: AT (European patent), AU, BE (European patent), BG, BR, CA, CH (European patent), CS, DE (European patent), DK (European patent), ES (European patent), FI, FR (European patent), GB (European patent), HU, IT (European patent), JP, KR, LU (European patent), MC (European patent), NL (European patent), NO, PL, RO, RU, SE (European patent), US.

**Published** 

With international search report.

(54) Title: A METHOD OF OXIDISING CARBOHYDRATES

#### (57) Abstract

In a method of oxidising carbohydrates, particularly starch and dextrin, the oxidation is effected by molecular oxygen in an alkaline aqueous medium in the presence of a catalytic quantity of a metal ion selected from the metals of group VIII of the periodic table, copper or silver and a substance which acts as a ligand for the metal ion and is preferably constituted by a polydentate amine ligand.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT AU BB BE BF BG BJ BR CA CF CG CH CM ODE DE ES	Austria Australia Barbados Belgium Burkina Faso Bulgaria Benin Brazil Canada Central African Republic Congo Switzerland Côte d'Ivoire Cameroon Czechuslovakia Germany Denmark Spain	FI FR GA GB GN GR HU IE IT JP KP KR LI LK LU MC MC	Finland France Gabon United Kingdom Guinea Greece Hungary Ireland Italy Japan Democratic People's Republic of Korea Republic of Korea Licehtenstein Sri Lanka Laxembourg Monaco Madagascar	MI. MN MW NL NO PL RO SE SN SU TD TG US	Mali Mongolia Mauritania Malawi Netherlands Norway Poland Romania Russian Federation Sudan Sweden Senegal Soviet Union Chad Togo United States of America
---	---	--	--	---	---

WO 92/18542

1

#### A method of oxidising carbohydrates

The present invention relates to a method of, oxidising carbohydrates, particularly starches, dextrin and hydrolysis products thereof.

Oxidised starches are used widely in the paper and textile industries. The product is generally produced by treating starch with hypochlorite in an alkaline aqueous medium. Alternatively, oxidised starches are produced by oxidation with periodate which can cleave the glucoside unit of starch between the C-2 and C-3 atoms which are converted into aldehyde groups. The starch thus produced is used mainly in the production of paper which retains good mechanical strength when wet.

A further potential application of oxidised starch or cellulose, described in German patent application DE-A-24 36 843 is its use as a builder for detergents. The products produced by oxidation with hypochlorite or periodate and subsequently with chlorite contain many carboxylic groups in a chain and thus have good sequestering powers. Their use is limited, however, by the fact that these substances are less biodegradable the higher their degree of oxidation.

The main object of the present invention is to provide an oxidation method which is particularly cheap and advantageous as regards the reagents used in the method. A further object is to provide a method which, with particular reference to the oxidation of starches, gives rise to an oxidation product with improved biodegradability characteristics.

2

This object is achieved by a method of oxidising carbohydrates, characterised in that the oxidation is effected by means of an oxygen containing gas in an alkaline areas medium in the presence of a catalytic quantity of a metal ion selected from the metals of group VIII of the periodic table, copper and silver and a substance which acts as a ligand for the metal ion.

Carbohydrates, which constitute the substrate to which the oxidation method of the invention is applied, include starch, hydrolysis products thereof with up to 1 glucoside unit, and simple carbohydrates such as sorbitol.

The term starch essentially means starch which has not thus and chemically modified been carbohydrates of natural and vegetable origin general which are composed essentially of amylose Native starches extracted from and/or amylopectin. various plants such as potatoes, rice, tapioca, maize Of these, maize starch is and cereals may be used. Hydrolysis products of starch preferred. constituted by mixtures of oligomers with various numbers of glucoside units, including glucose monomer. These hydrolysis products are easily obtainable, for example, by enzymatic hydrolysis, preferably with the Substrates usable use of endoenzymes. include polyols with carbohydrate invention also structure, such as sorbitol.

The metal ion used is preferably iron, coper, silver, chalt or nickel and is introduced into the alkaline aqueous medium by means of a soluble salt, preferably constituted by a chloride or a sulphate.

3

3

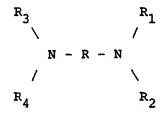
Typically, the metal ion is used in a molar ratio of between 1 and 0.25% with reference to the number of moles of glucoside units in the substrate.

The ligand for the metal ion is preferably a polydentate amine ligand. Of these the following are contemplated:

- monoamines of the general formula:

in which one of the radicals  $R_1$ ,  $R_2$  and  $R_3$  is selected from the group consisting of hydrogen,  $C_1$ – $C_4$  alkyl groups and carboxyalkyl radicals in which the alkyl group has from 1 to 4 carbon atoms, and the rest of the  $R_1$ ,  $R_2$  or  $R_3$  radicals are the same or different carboxyalkyl radicals in which the alkyl group has from 1 to 4 carbon atoms, and

- polyamines of the general formula:



in which R is an alkylene group with from 1 to 4 carbon atoms, preferably ethylene, and

 $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are the same or different and are radicals selected independently of each other from the

group consisting of hydrogen,  $C_1$ - $C_4$  alkyl groups, aminoalkyl radicals in which the alkyl group has from 1 to 4 carbon atoms and carboxyalkyl radicals in which the alkyl group has from 1 to 4 carbon atoms,

or alternatively,  $R_1$  and  $R_2$  and/or  $R_3$  and  $R_4$  form a heterocyclic ring with the respective nitrogen atom,

or alternatively, R<sub>1</sub>, R<sub>2</sub>, R<sub>3</sub> and R<sub>4</sub> form heterocyclic groups with the respective nitrogen atoms. Of the preferred amine and polyamine ligands, nitrilotriacetic acid, iminodiacetic acid, ethylenediamine, diethylenetriamine, triethylenetetramine, ethylenediaminetetra-acetic acid (EDTA), ethylenediaminetriacetic acid, phenanthroline and 2,2'-dipyridyl are contemplated in particular.

A combination of EDTA with ferrous sulphate or ferrous chloride is particularly advantageous for the oxidation of starch and hydrolysis products thereof, including glucose.

The oxidation reaction is carried out by bubbling molecular oxygen or air through the alkaline aqueous medium which generally has a pH of from 8 to 14 and typically between 8 and 10 at a temperature of from 25 to 90°C and at atmospheric pressure with vigorous stirring.

### Example 1.

40 g of dextrin was dissolved in 500 ml of deionised water. 0.63 g of FeCl<sub>2</sub> and 0.5 g of o-phenanthroline were added. The reaction was carried out at 60°C and at a pH of 9 in an atmosphere of oxygen and good stirring was maintained. A total of 15 ml of 3.4M

5

NaOH was introduced during a reaction period of 8 hours. Upon completion of the reaction the water was evaporated and the product recovered.

#### Example 2.

40 g of dextrin was dissolved in 500 ml of deionised water. 0.68 g of  $FeSO_4$ .7 $H_2O$  and 0.5 g of o-phenanthroline were added. The reaction was carried out at  $70^{\circ}C$  and at a pH of 9 in an atmosphere of oxygen and good stirring was maintained.

A total of 35 ml of 3.4M NaOH was introduced during a reaction period of 12 hours. Upon completion of the reaction, the water was evaporated and the product recovered.

#### Example 3.

 $40~{
m g}$  of dextrin was dissolved in 500 ml of deionised water. 0.34 g of  ${
m FeSO}_4.7{
m H}_2{
m O}$  and 25 g of o-phenanthroline were added. The reaction was carried out at  $70^{\circ}{
m C}$  and at a pH of 9 in an atmosphere of oxygen and good stirring was maintained.

A total of 60 ml of 3.4M NaOH was introduced during a reaction period of 32 hours. Upon completion of the reaction the water was evaporated and the product recovered.

#### Example 4.

40 g of dextrin was dissolved in 500 ml of deionised water. 0.34 g of  ${\rm FeSO}_4.7{\rm H}_2{\rm O}$  and 0.43 g of the dihydrated disodium salt of EDTA were added. The

PCT/EP92/00827 WO 92/18542

reaction was carried out at  $70^{\circ}\text{C}$  and at a pH of 9 in an atmosphere of oxygen and good stirring was maintained.

A total of 60 ml of 3.4M NaOH was introduced during a reaction period of 16 hours. Upon completion of the reaction the water was evaporated and the product recovered.

#### Example 5.

20 g of soluble starch was dissolved in 500 ml of deionised water. 0.34 g of  $FeSO_4.7H_2O$  and 0.25 g of o-phenanthroline were added. The reaction was carried out at  $70^{\circ}C$  and at a pH of 9 in an atmosphere of oxygen and good stirring was maintained.

A total of 17 ml of 3.4M NaOH was introduced during a reaction period of 12 hours. Upon completion of the reaction the water was evaporated and the product recovered.

## Example 6.

20 g of maize starch was gelled in 500 ml of deionised water. 0.34 g of FeSO<sub>4</sub>.7H<sub>2</sub>O and 0.46 g of EDTA were added in an atmosphere of oxygen and good stirring was maintained.

A total of 17 ml of 3.4M NaOH was introduced during a reaction period of 17 hours. Upon completion of the reaction the water was evaporated and the product recovered.

The recovered product was subjected to Ft-IR spectroscopy with Perkin Elmer 1760 equipment. The

graph below shows the spectra of the oxidation product (2) and of untreated starch (1) in Nujol.

The spectrum (2) has a band at a wavelength of 1597 cm<sup>-1</sup> which is characteristic of the salified carboxyl group and is absent from the spectrum (1). The two spectra have substantially corresponding shapes in the region between 1000 and 1100 cm<sup>-1</sup> in which there are strong bands characteristic of the structure of starch.

The oxidation product of a starch or a dextrin obtainable by the method which is described above and is the subject of the following claims falls within the scope of the present invention.

The oxidation product of starch may conveniently be used as a binding additive for paper, as a builder for detergents, as a polyelectrolyte thickening agent, in formulations for paints and printing inks, and as a high-molecular-weight coalescent.

Its use as a builder for detergents is particularly advantageous by virtue of its good sequestering properties combined with the biodegradability of the product compared with products oxidised by hypochlorite.

Additionally the product may be used as a co-builder in detergent formulations in association with known builders, such as zeolites, in order to improve the anti-redeposition properties and dispersion capacity of the detergents and achieve an improved soil removal effectiveness.

PCT/EP92/00827 WO 92/18542

8

Hydrolysis products of starch and particularly dextrin oxidised by the method of the invention may also be used in particular as polyelectrolyte thickening agents in formulations for paints and printing inks. These uses constitute a further subject of the invention.

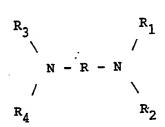
9

#### CLAIMS

- 1. A method of oxidising carbohydrates, characterised in that the oxidation is effected by means of an oxygen containing gas in an alkaline aqueous medium in the presence of a catalytic quantity of a metal ion selected from the metals of group VIII of the periodic table, copper and silver and a substance which acts as a ligand for the metal ion.
- 2. A method according to Claim 1, characterised in that the ligand is a polydentate amine ligand.
- 3. A method according to Claim 2, characterised in that the ligand is a monoamine of the general formula:

in which one of the radicals  $R_1$ ,  $R_2$  and  $R_3$  is selected from the group consisting of hydrogen,  $C_1$ - $C_4$  alkyl groups and carboxyalkyl radicals in which the alkyl group has from 1 to 4 carbon atoms and the rest of the  $R_1$ ,  $R_2$  and  $R_3$  radicals are the same or different carboxyalkyl radicals in which the alkyl group has from 1 to 4 carbon atoms.

4. A method according to Claim 2, characterised in that the ligand is a polyamine of the general formula:



in which R is a  $C_1$ - $C_4$  alkylene group, preferably ethylene, and

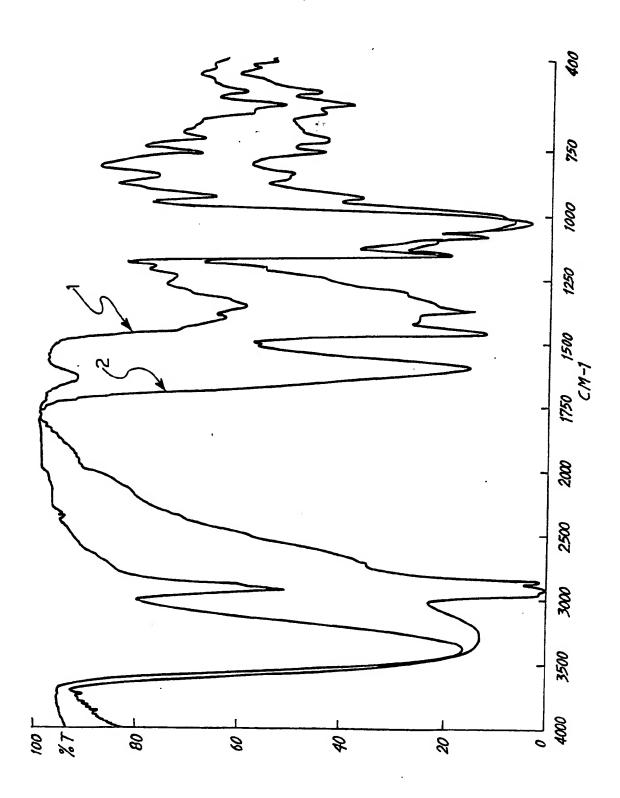
 $R_1$ ,  $R_2$ ,  $R_3$ , and  $R_4$  are the same or different and are selected independently of each other from the group consisting of hydrogen,  $C_1$ - $C_4$  alkyl groups, aminoalkyl radicals in which the alkyl group has from 1 to 4 carbon atoms and carboxyalkyl radicals in which the alkyl group has from 1 to 4 carbon atoms,

or alternatively,  $R_1$  and  $R_2$  and/or  $R_3$  and  $R_4$  form a heterocyclic ring with the respective nitrogen atom,

or alternatively,  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$  form heterocyclic groups with the respective nitrogen atoms.

- 5. A method according to Claim 2, characterised in that the amine ligand is selected from the group consisting of nitrilotriacetic acid, iminodiacetic acid, ethylenediamine, diethylenetriamine, triethylenetetramine, ethylenediaminetriacetic acid, ethylenediaminetetra-acetic acid, phenanthroline and 2,2'-dipyridyl.
- 6. A method according to Claim 5 in which the ligand is ethylenediaminetetra-acetic acid and the metal ion is iron.

- 7. A method according to Claim 5 in which the metal ion is copper and the ligand is o-phenanthroline.
- 8. A method according to Claim 1 in which the molar concentration of the metal ion in the reaction medium is from 1 to 0.25% with reference to the number of moles of glucoside in the oxidation substrate.
- 9. A method according to Claim 1 wherein the oxidation reaction is carried out at a temperature of from 25 to 90°C and at atmospheric pressure with vigorous stirring.
- 10. A method according to Claim 1 wherein the carbohydrate subjected to oxidation is selected from starch, dextrin, hydrolysis products of starch or dextrin, and sorbitol.
- 11. An oxidation product of starch, a hydrolysis product of starch or dextrin obtainable by a method according to any one of Claims 1 to 9.
- 12. The use of an oxidation product of starch, a hydrolysis product of starch or dextrin obtainable by a method according to any one of Claims 1 to 9 as a builder or co-builder for detergents.
- 13. The use of an oxidation product of starch, a hydrolysis product of starch or dextrin obtainable according to any one of Claims 1 to 9 as a binder for paper, as a polyelectrolyte thickening agent or as a coalescent.



International Application No

I. CLASSIFICATION OF SUBJE	ECT MATTER (if several classification syn	ibols apply, indicate all) <sup>6</sup>	
According to International Patent	Classification (IPC) or to both National Classification	ssification and IPC	
Int.Cl. 5 C08B31/1			D21H17/28
II. FIELDS SEARCHED			
	Minimum Documen	tation Searched <sup>7</sup>	
Classification System	C	lassification Symbols	
Int.Cl. 5	CO8B; CO7H		
	Documentation Searched other the to the Extent that such Documents are	nan Minimum Documentation o Included in the Fields Searched <sup>®</sup>	
	·		
III. DOCUMENTS CONSIDERE			
Category O Citation of De	ocument, 11 with indication, where appropriat	e, of the relevant passages 12	Relevant to Claim No.13
see abs see col see col	736 224 (M. GRAYSON ET A tract umn 2, line 24 - line 28 umn 2, line 51 - column ims; examples 12,18; tab	3, line 8	1,2,9
A EP,A,0 see cla	232 202 (ROQUETTE FRERES	i) 12 August 1987	1,9,10,
1975 see abs	B73 614 (LAMBERTI V. ET tract \ umn 8, line 39 - line 47		1,9,10,
July 199	378 127 (HOECHST AKTIENG 90 e 2, line 41 - line 47 e 3, line 48 - line 50;		1,12
considered to be of partic  "E" earlier document but publifiling date  "1." document which may throwhich is cited to establish citation or other special re  "O" document referring to an other means	neral state of the art which is not ular relevance ished on or after the international we doubts on priority claim(s) or the publication date of another teason (as specified) oral disclosure, use, exhibition or to the international filling date but	"I" later document published after the in or priority date and not in conflict worked to understand the principle or to invention  "X" document of particular relevance; the cannot be considered novel or cannot involve an inventive step  "Y" document of particular relevance; the cannot be considered to involve an indecember to involve an indecember to involve an indecember to involve an indecember to involve an indecember, such combination being obviction the art.  "A" document member of the same pater	tith the application but theory underlying the e claimed invention t be considered to e claimed invention aventive step when the tore other such docu- buts to a person skilled
IV. CERTIFICATION			C. L.D.
Date of the Actual Completion of	the International Search JULY 1992	Date of Mailing of this International 2 4. 07, 92	Dearch Report
International Searching Authority EUROPE	AN PATENT OFFICE	Signature of Authorized Officer MAZET J.	A CONTRACTOR OF THE PARTY OF TH

	International Application No	
III. DOCUME	ITS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)	Relevant to Claim No.
Category o	Citation of Document, with indication, where appropriate, of the relevant passages	
	EP,A,D 247 314 (HULS AKTIENGESELLSCHAFT) 2	1,2,4
Α	EP, A, U 24/ 314 (NULS ANTILINGESELLES ANTILINGES ANTILINGE ANTILINGES ANTILINGES ANTILINGES ANTILINGES ANTILINGE ANTILINGE ANTILINGE ANTILINGE ANTILINGE ANTILINGE ANTILINGE ANTILINGE ANT	
	December 1987	
	see line 40 - line 49 see page 4, line 1 - line 3	
1	see page 4, Time 1 Time 2	1.057
.	US,A,4 572 798 (KOTHS ET AL.) 25 February 1986 see column 5, line 38 - line 40	1,2,5,7
A	sep_column 5. line 38 - line 40	
	366 00 lank 0, 1 m	
l		
ì		
ŀ		
	•	
]		
		8
1 1		
1		

#### ANNEX TO THE INTERNATIONAL SEARCH REPORT ON INTERNATIONAL PATENT APPLICATION NO. 9200827 SA

59308

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information. 17/07/92

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
US-A-3736224	29-05-73	None		
EP-A-0232202	12-08-87	FR-A-	2597473	23-10-87
		CA-A-	1284496	28-05-91
		DE-A-	3773009	24-10-91
		JP-A-	62247837	28-10-87
		US-A-	4985553	15-01-91
US-A-3873614	25-03-75	CA-A-	959047	10-12-74
		DE-A-	2233977	01-02-73
		FR-A,B	2145722	23-02-73
		GB-A-	1385403	26-02-75
		NL-A-	7209737	16-01-73
		SE-B-	395008	25-07-77
EP-A-0378127	18-07-90	DE-A-	3900677	19-07-90
		AU-B-	617919	05-12-91
		AU-A-	4782490	19-07-90
		CA-A-	2007550	12-07-90
		JP-A-	2233691	17-09-90
		US-A-	5082504	21-01-92
EP-A-0247314	02-12-87	DE-A-	3617187	26-11-87
		JP-A-	62285918	11-12-87
		US-A-	4734485	29-03-88
JS-A-4572798	25-02-86	AU-B-	594930	22-03-90
		AU-A-	5005285	12-06-86
		CA-A-	1248300	03-01-89
		EP-A-	0185459	25-06-86
		GB-A,B	2168055	11-06-86
		JP-A-	61140600	27-06-86

f		